

Article title: Changing Reimbursement Criteria on Anti-VEGF Treatment Patterns Among Wet Age-Related Macular Degeneration and Diabetic Macular Edema Patients: An Interrupted Time Series Analysis

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Supplementary file 1

Table S1. Reimbursement criteria changes of anti-VEGF in Taiwan

| | |
|---------------------------------|---|
| wAMD | |
| January 2011 - July 2014 | <ul style="list-style-type: none"> • Patients ≥ 50 y/o. • A maximum of 3 intravitreal injections are reimbursed for each year • The usage period is limited to 2 years. |
| August 2014 - November 2016 | <ul style="list-style-type: none"> • Application limited to one Anti-VEGF treatment (Ranibizumab or Aflibercept) • Patients ≥ 50 y/o. • A maximum of 3 intravitreal injections are reimbursed for the first application. Maximum 7 needles. • The usage period is limited to 2 years for each application. |
| December 2016 - May 2020 | <ul style="list-style-type: none"> • Application limited to one Anti-VEGF treatments (Ranibizumab or Aflibercept) • Patients ≥ 50 y/o. • A maximum of 3 intravitreal injections are reimbursed for the first application. Maximum 7 needles. • The usage period is limited to 5 years for each application. |
| DME | |
| February 2013 - January 2016 | <ul style="list-style-type: none"> • A maximum of 5 intravitreal injections are reimbursed for the first year. • A maximum of 3 intravitreal injections are reimbursed for the second year. |

| | |
|--|--|
| <p>February 2016 - October 2016</p> | <ul style="list-style-type: none"> • A maximum of 5 intravitreal injections are reimbursed for the first application. Maximum 8 needles. • The usage period is limited to 2 years for each application. |
| <p>November 2016 - November 2016</p> | <ul style="list-style-type: none"> • Application limited to one Anti-VEGF treatment (Ranibizumab or Aflibercept) • A maximum of 5 intravitreal injections are reimbursed for the first application. Maximum 8 needles. • The usage period is limited to 2 years for each application. |
| <p>December 2016</p> | <ul style="list-style-type: none"> • Application limited to one Anti-VEGF treatment (Ranibizumab or Aflibercept) • A maximum of 5 intravitreal injections are reimbursed for the first application. Maximum 8 needles. • The usage period is limited to 5 years for each application. |

Table S2. Results in change of the treatment gap between each anti-VEGF injection based on reimbursement criteria: interrupted time series analyses.

| | wAMD | | DME |
|-----------------------------------|--------------------------|--------------------------|--------------------------|
| | Policy change 1 | Policy change 2 | Policy change |
| 1 st - 2 nd | x | x | Significant trend change |
| 2 nd - 3 rd | x | Significant trend change | Significant trend change |
| 3 rd - 4 th | Significant level change | x | Significant level change |
| 4 th - 5 th | x | Significant trend change | Significant trend change |
| 5 th - 6 th | x | Significant trend change | x |
| 6 th - 7 th | x | Significant trend change | Significant trend change |
| 7 th - 8 th | - | - | Significant trend change |

x: No significant change; -: No results

Table S3. Results in change of the treatment gap between each anti-VEGF injection based on reimbursement criteria: interrupted time series analyses.

(A) Prescription gap of anti-VEGF before and after reimbursement policy change for wAMD patients

Statistical methods:

$$Y = \beta_0 + \beta_1 (\text{time}) + \beta_2 (\text{Reimbursement policy change 1}) + \beta_3 (\text{Time after change 1}) + \beta_4 (\text{Reimbursement policy change 2}) + \beta_5 (\text{Time after change 2}) + e_i$$

| | |
|-------------------------------|---|
| Y | Study outcome: the treatment gap between each injection by days. |
| Time | A continuous variable indicating time in quarters from the start of reimbursement date (Time= 2011Q1) to the end of observation period (Time= 2019Q4) |
| Reimbursement policy change 1 | A variable indicating the reimbursement policy change 1 (coded 0 for period before Reimbursement policy change 1; coded 1 for period after reimbursement policy change 1) |
| Time after change 1 | A continuous variable indicating time in quarters after the reimbursement policy change 1 (coded 0 before the Reimbursement policy change 1; coded 1 to 8 after the reimbursement policy change 1) |
| Reimbursement policy change 2 | A variable indicating the reimbursement policy change 2 (coded 0 for period before the Reimbursement policy change 2; coded 1 for period after the reimbursement policy change 2) |
| Time after change 2 | A continuous variable indicating time in quarters after the reimbursement policy change 2 (coded 0 before the reimbursement policy change 2; coded 1 to 12 after the reimbursement policy change 2) |
| β_0 | Estimates the “baseline level” of the study outcome before reimbursement policy changes (the intercept) |
| β_1 | Estimates the “baseline trend” of the study outcome before reimbursement policy changes (the slope) |
| β_2 and β_4 | Estimates the “level change” of the study outcome at each reimbursement policy change (an immediate change) |
| β_3 and β_5 | Estimates the “trend change” of the study outcome between each reimbursement policy change (difference between the slopes) |

Results:

| Prescription gap | Intercept (95% CI) | Slope (95% CI) | Reimbursement policy change 1 Absolute effects | | Reimbursement policy change 2 Absolute effects | |
|---------------------------|-----------------------|----------------------------|---|-----------------------------|---|--------------------------------------|
| | | | Change in level (95% CI) | Change in slope (95% CI) | Change in level (95% CI) | Change in slope (95% CI) |
| between first and second | 54 (49 to 60) | -0.62 (-1.24 to -0.002) | 8.1 (-1.4 to 18) | 0.62 (-0.99 to 2.23) | 2.5 (-6.7 to 11.6) | -1.4 (-3.1 to 0.24) |
| between second and third | 46 (38 to 53) | 1.7 (0.78 to 2.5) | -2.7 (-15 to 9.7) | -1.4 (-3.6 to 0.85) | 4.4 (-7.5 to 16) | -2.6 (-4.6 to -0.22) ^b |
| between third and fourth | 570 (539 to 601) | -7.4 (-11 to -3.5) | -228 (-282 to -173) ^b | -1.5 (-11 to 7.7) | 2.1 (-50 to 54) | 0.40 (-9.0 to 9.8) |
| between fourth and fifth | 41 (25 to 56) | 2.0 (-0.52 to 4.5) | -4.7 (-27 to 17) | 1.1 (-3.2 to 5.4) | 7.3 (-13 to 28) | -5.7 (-9.7 to -1.7) ^b |
| between fifth and sixth | 43 (24 to 62) | 4.6 (1.5 to 7.8) | -27 (-57 to 3.5) | -1.3 (-6.5 to 3.8) | 12 (-15 to 38) | -6.6 (-11 to -1.8) ^b |
| between sixth and seventh | N/A ^a | N/A ^a | 85 (66 to 104) | 1.6 (-2.6 to -5.7) | 8.2 (-12 to 28) | -5.0 (-9.6 to -0.46) ^b |

a. The seventh needle was reimbursed after policy change 1.

b. p < 0.05

(B) Prescription gap of anti-VEGF before and after reimbursement policy change for DME patients

Statistical methods:

$$Y = \beta_0 + \beta_1 (\text{time}) + \beta_2 (\text{Reimbursement policy change}) + \beta_3 (\text{Time after change}) + e_i$$

| | |
|-----------------------------|---|
| Y | Study outcome: the treatment gap between each injection by days. |
| Time | A continuous variable indicating time in quarters from the start of reimbursement date (Time= 2013Q1) to the end of observation period (Time= 2019Q4) |
| Reimbursement policy change | A variable indicating the reimbursement policy change 1 (coded 0 for period before reimbursement policy change 1; coded 1 for period after reimbursement policy change 1) |
| Time after change | A continuous variable indicating time in quarters after the reimbursement policy change 1 (coded 0 before the reimbursement policy change 1; coded 1 to 12 after the reimbursement policy change 1) |
| β_0 | Estimates the “baseline level” of the study outcome before reimbursement policy changes (the intercept) |
| β_1 | Estimates the “baseline trend” of the study outcome before reimbursement policy changes (the slope) |
| β_2 | Estimates the “level change” of the study outcome at the reimbursement policy change (an immediate change) |
| β_3 | Estimates the “trend change” of the study outcome after the reimbursement policy change (difference between the slopes) |

Results:

| Prescription gap | Intercept (95% CI) | Slope (95% CI) | Reimbursement policy change | |
|----------------------------|-----------------------|----------------------|---------------------------------|---|
| | | | Change in level (95% CI) | Absolute effects Change in slope (95% CI) |
| between first and second | 49 (44 to 53) | 0.86 (0.22 to 1.5) | 4.3 (-1.9 to 10) | -2.8 (-3.7 to -1.9) ^a |
| between second and third | 49 (35 to 64) | 2.4 (0.46 to 4.4) | -9.3 (-29 to 10) | -4.7 (-7.5 to -1.9) ^a |
| between third and fourth | 249 (226 to 272) | -3.7 (-7.1 to -0.26) | -110 (-141 to -79) ^a | -0.16 (-4.1 to 4.4) |
| between fourth and fifth | 37 (24 to 29) | 3.6 (1.8 to 5.4) | 3.6 (-12 to 19) | -6.0 (-8.4 to -3.6) ^a |
| between fifth and sixth | 323 (248 to 398) | -8.9 (-21 to 3.1) | -69 (-162 to 24) | 2.5 (-13 to 18) |
| between sixth and seventh | 26 (6.5 to 46) | 7.1 (3.2 to 11) | -7.4 (-30 to 15) | -8.3 (-13 to -3.8) ^a |
| between seventh and eighth | 29 (2.5 to 55) | 8.0 (2.1 to 14) | -5.9 (-35 to 23) | -10 (-16 to -3.5) ^a |

a. p < 0.05

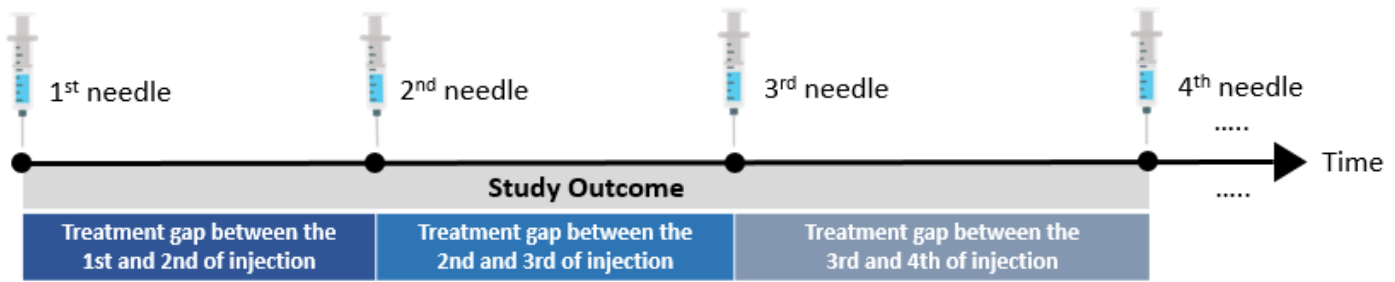
Table S4. Evaluation of the risk of bias in interrupted time series analysis (ITSA)

ITSA is a quasi-experimental method that can identify pre-existing trends in treatment gaps and estimate changes resulting from revisions in reimbursement criteria. However, several domains of bias, as outlined in the ROBINS-I tool (<https://training.cochrane.org/handbook/current/chapter-25>), may exist for ITSA as follows:

| Bias domain | Issues addressed in our study |
|---|--|
| <ul style="list-style-type: none"> • Bias due to confounding | <p>The ITSA utilized an uncontrolled, repeated cross-sectional design to collect longitudinal data measured at an aggregate level. This approach minimizes confounding due to between-group differences, offering a significant advantage. In our study, beyond the changes in reimbursement criteria for anti-VEGF treatments, there is a minimal possibility that extraneous events or context changes occurred around the time the intervention was introduced. We also ensured the inclusion of sufficient time points to accurately characterize trends and patterns (for example, the segmented regression models for wAMD comprised a total of 14 data points before the criteria change (2011 Q1–2014 Q2), 7 data points after the first criteria change (2014 Q4–2016 Q3), and 12 data points subsequent to the second criteria change (2017 Q1–2019 Q4)). Furthermore, to address autocorrelation within individual data points, we applied the Durbin-Watson test, enhancing the robustness of our findings. This methodology aligns with the ROBINS-I evaluation criteria for an uncontrolled before-after study, providing a sound basis for our analysis.</p> |
| <ul style="list-style-type: none"> • Bias in selection of participants into the study • Bias due to missing data • Bias in measurement of the outcome | <p>Our study conducts a retrospective, nationwide analysis using data from the NHI program. The NHI is a mandatory, government-operated, single-payer health insurance system that covers over 99% of Taiwan's population, approximately 24 million people. It offers comprehensive coverage, including outpatient and inpatient services, medications, diagnostic tests, procedures, and surgeries for its beneficiaries. The system meticulously records detailed healthcare information for each beneficiary, covering demographics, healthcare utilization, diagnoses, procedures, and drug prescriptions. Therefore, the potential for bias due to missing data is minimal. Moreover, ITSA sets itself apart from most other intervention study designs by utilizing a before-after comparison within a single population, rather than comparing to a control group. This method significantly reduces the risk of selection bias, thereby ensuring a more robust analysis of the intervention's impact within the NHI framework. Additionally, the administration of anti-VEGF injections is confined to medical institutions and cannot be self-administered by patients at home. Thus, the treatment gaps between injections (the outcome in our study) are less susceptible to measurement misclassification.</p> |
| <ul style="list-style-type: none"> • Bias in classification of interventions • Bias due to deviations from intended interventions • Bias in selection of the reported result | <p>Recognizing that the exact time point of 'interruption' may not coincide with the immediate implementation of all intervention features, we have carefully adjusted our analysis to consider these nuances. For the wAMD segmented regression model, we excluded two data points within the transition period (Q3 2014 and Q4 2016) to mitigate potential biases arising from the timing of intervention classification. Similarly, for DME, we excluded data from four points during the transition period (Q1–Q4 2016). This decision aims to prevent erroneously attributing observed effects solely to the intervention, without accounting for the gradual implementation or the presence of intervention effects before or after its intended full-scale implementation. By doing so, we can address potential biases in the classification of the intervention and in the selection of reported results.</p> |

Figure S1. Illustration of study hypothesis and outcome of interest (treatment gap between injections)

(A) Before reimbursement policy change



(B) After reimbursement policy change

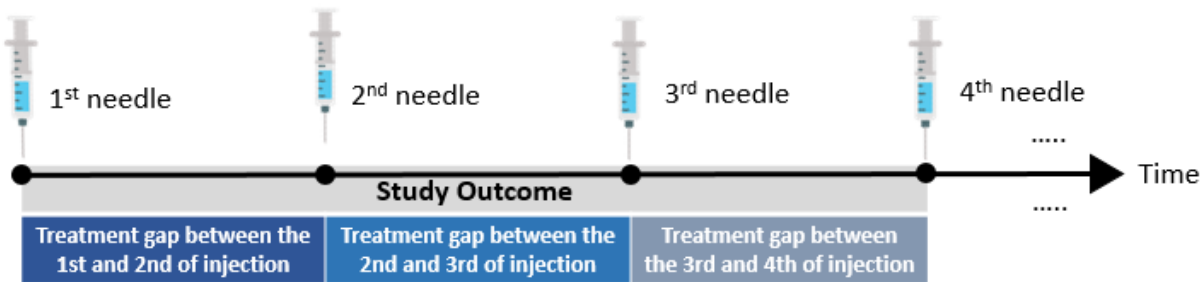


Figure S2. Flowchart of study cohort

